PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for	form	1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of Sheet

Complete if Known						
Application Number	09/818,001					
Filing Date	March 27, 2001	 				
First Named Inventor	Nicholas A. KOTOV					
Group Art Unit	1641	- B				
Examiner Name	Unknown	ΙN				
Attorney Docket Number	67183/01-188	H)				

	_					*		$-\infty$
					U.S. PATENT DOC	UMENTS		Ş
Exa	miner als*	Cite No.1	U.S. Patent Number	Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	600/2900
7		A1	5,518,76	57	Rubner et al.	05-21-1996		
2001	100				<u> </u>			
\vdash	E S		<u></u>	- 				
AAR	BOLLICE W							
1						 		-
\vdash								
1						-		
-								
\vdash								\dashv
				- - -				
\vdash								
							·	

		***	····	FOR	EIGN PATENT DOCUMEN	ITS		
Examiner Initials*	Cite No.1	Office ³	Foreign Patent Docum Number ⁴	ment Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
MA	B1	JP	04 090307		Nitto Denko Corp.	03-24-1992	(Abstract Only)	X
W.	B2	wo	96/07487	A1	The University of Liverpool	03-14-1996		lacksquare
								目
							ECO	
							CEIVE	\vdash
				Ī.	<u></u>	<i>_</i>	< <i>U[]</i>	

Examiner Signature	E.lee	Date Considered 7/24/02	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

	Į	J
ſ	7	1
()
Γ	T	1
<	<	7
Γ	Ť	Ì

Ple	ease type a pl	us sign	(+) inside this box	· →[+		Approv	PTO/S red for use through 10/31/2002. O	B/08B (08-	
Un	der the Pape	rwork l	Reduction Act of	 1995, no	persons are require	ed to res	U.S. Patent and Tradema	rk Office: U.S. DEPARTMENT OF on unless it contains a valid OMB	COMMER	CE
								mplete if Known		
			form 1449B/PTO				Application Number	09/818,001		
	INF	OR	MATION	DIS	CLOSUR	E	Filing Date	March 27, 2001	<u></u>	
					PPLICAN		First Named Inventor	Nicholas A. KOTOV	in	#
	317	~ L	IVILLIA I L	,, <u> </u>	I LIOAN	•	Group Art Unit	1641		
		(1	use as many sh	eets as	necessary)		Examiner Name	Unknown	33	7
OIPE	Sheet						Attorney Docket Number	67183/01-188		
7011 - 4	C. C.							107163701-166	1600/1	~ 8
	<u> </u>			0.711	50 00100 407		N DATENT : ITEDATIO	E DOGUMENTO	- 100	 -
OCT 0 2 2001	<u></u>	T	· · · · · · · · · · · · · · · · · · ·				ON PATENT LITERATUR	*	.9do	
en.	Examiner Initials*	Cite No.1			agazine, journal, ser	ial, sym	LETTERS), title of the article (with posium, catalog, etc.), date, page and/or country where published	ge(s), volume-issue number(s),)	т2
TRADEMAN		C1						connection with corresponding date of Aug. 10		
				,,,				RECEN	VEN	
								TC 1700	71 	

Examiner

Signature

Date

Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 $^{^{1}} Unique\ citation\ designation\ number. ^{2} Applicant\ is\ to\ place\ a\ check\ mark\ here\ if\ English\ language\ Translation\ is\ attached.$



RECEIVED

AUG 0 3 2001 TECH CENTER 1600/2900 PATENT Attorney Docket No.: 67183/01-188

Form PTO-1449

Page 1

FORM PTO-1449 (Modified)	Attorney Docket No.: 67183/01-188			
INFORMATION DISCLOSURE	Applicant(s): Kotov			
STATEMENT (Use several sheets if necessary)	Title: Assembly of free-standing films using a layer-by-layer process			
(Ose several sheets if necessary)	Serial No.: 09/818,001	Filing Date: 03/27/2001		
	Group: 1641	Examiner: Unknown		

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class/Subclass
De	AA	4,333,961	06/1982	Bruce et al.	-B05D 1/04

FOREIGN PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Translation Yes / No
W	AB	WO 00/17103	30 Mar 00	Bawendi et al.	C01B 33/00	N/A
	AC	WO 00/17642	30 Mar 00	Bawendi et al.	G01N-33/53	N/A_
	AD	WO 00/17655	30 Mar 00	Bawendi et al.	G 01N 33/58	N/A
	AE	WO 00/17656	30 Mar 00	Bawendi et al.	G01N 33/58	N/A
	AF	WO 00/44507	03 Aug 00	Kotov et al.	G 11B 5/62	—N/A
	AG	CA 1,251,860	28 Mar 89	Bandara et al.	Gf 1B 5/842	N/A
	АН	EP 0 101 826 A1	26 Jun 83	Homola et al.	G11B 5/84	<u>N/</u> A



RECEIVED

AUG 0 3 2001

PATENT Attorney Docket No.: 67183/01-188

Form PTO-1449

Page 2

TECH CENTER 1600/2900

OTHER ART

Examiner Initial		(Including Author, Title, Date, Pertinent Pages, Etc.)
	AI	

Examiner:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance. Include copy of this form with next communication to applicant.

112678.1